

Karen M. Simon Krieger  
[kmskrieger@gmail.com](mailto:kmskrieger@gmail.com)  
19 Marshall Court  
Great Neck, New York 11021  
December 17, 2012



Weil, Gotshal & Manges LLP  
767 Fifth Avenue  
New York, NY 10153  
Attention: Ralph I. Miller, Robert J. Lemons, Denise Alvarez

Re: Chapter 11 – Case No. 08-13555 (JMP)

Lehman Brothers Holdings Inc.'s Document Requests to Claimants Participating in RSU Claims Discovery  
Pursuant to Rules 7026 and 7034 of the Federal Rules of Bankruptcy Procedure

Dear Mr. Ralph Miller:  
Dear Robert J. Lemons:  
Dear Denise Alvarez:

Please be advised that I am in receipt of the documents listed on the schedule (attached) and will produce to you no later than February 7, 2013.

Sincerely,

Karen M. Simon Krieger

Cc: The Honorable James M. Peck, U.S. Bankruptcy Court for the Southern District

the following information is being provided to you for your information.

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**Lehman Brothers - Document Lists - Submission in Support of RSU Claims Discovery**  
by Karen M. Simon Krieger

Document Identification	Documents Date	For Attachments to emails and Documents, the Document Name
KK- 01	October 1994	Lehman Brothers Stock Award Program
KK- 02	October 2001	Lehman Brothers Stock Award Program
KK- 03	October 2000	Lehmans Brother Offer Letter
KK- 04	9/16/08	Equity Award Program - LehmanLive
KK- 05	12/15/03	Lehman Brothers 2003 Total Compensation Statement
KK- 06	12/13/04	Lehman Brothers 2004 Total Compensation Statement
KK- 07	12/12/05	Lehman Brothers 2005 Total Compensation Statement
KK- 08	1/16/08	Memorandum - Participants of the 2002 Stock Award Program
KK- 09	1/11/08	Lehman Live - November 2007 Equity Award Issuance
KK- 10	2/14/01	Lehman Brothers Annual Report 2000
KK- 11	2/15/02	Lehman Brothers Annual Report 2001
KK- 12	2/17/03	Lehman Brothers Annual Report 2002
KK- 13	2/9/04	Lehman Brothers Annual Report 2003
KK- 14	2/18/05	Lehman Brothers Annual Report 2004
KK- 15	2/17/06	Lehman Brothers Annual Report 2005
KK- 16	2/16/07	Lehman Brothers Annual Report 2006
KK- 17	1/29/08	Form 10K Annual Report
KK- 18	1/29/04	2003 RSU Bonus Pay Stub
KK- 19	1/31/05	2004 RSU Bonus Pay Stub
KK- 20	1/31/06	2005 RSU Bonus Pay Stub
KK- 21	1/31/07	2006 RSU Bonus Pay Stub
KK- 22	1/31/08	2007 RSU Bonus Pay Stub

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Attention: Ralph I. Miller, Robert J. Lemons, Denise Alvarez

Re: Chapter 11 – Case No. 08-13555 (JMP)

Lehman Brothers Holdings Inc.'s Interrogatories to Claimants Participating in RSU Claims Discovery Pursuant to Rules 7026 and 7034 of the Federal Rules of Bankruptcy Procedure

Dear Mr. Ralph Miller:  
Dear Robert J. Lemons:  
Dear Denise Alvarez:

Please be advised of my responses to the following:

- 1) Identify all Individuals who you believe may have knowledge or information concerning the classification of your RSU Claim as an equity interest or equity security and describe the subject matter of each Individual's knowledge.
- 2) Identify all Individuals who you will be calling as fact witnesses at an evidentiary hearing on whether Your RSU Claim should be classified as equity, and describe the subject matter of each Individual's relevant knowledge.
- 3) Identify all Individuals who You will be calling as an expert witnesses [sic] at an evidentiary hearing on whether Your RSU Claim should be classified as equity, and describe the subject matter of each Individual's relevant knowledge
- 4) Identify all Individuals who answered or provided assistance in answering these Interrogatories

- 
- 1) None
  - 2) None
  - 3) None
  - 4) None

Sincerely,



Karen M. Simon Krieger

Cc: The Honorable James M. Peck, U.S. Bankruptcy Court for the Southern District